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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.: 2001\_1227

First Named Inventor : Yotaro HATAMURA et al.

Title: SUBSTRATE WITH MICRO-PROTRUSIONS AND METHOD OF  
MAKING THEREOF

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2.  Small Entity Status is hereby asserted.
3.  Specification  
*(preferred arrangement set forth below)*  
 - Descriptive title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to Sequence Listing, a table, or a computer program listing appendix.  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings *(if filed)*  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
- [X] Drawing(s) *(35 USC 113)* *[Total Sheets: 21]*
- [X] Oath or Declaration *[Total Pages : 3]*  
 a.1.  Newly executed *(original or copy)*  
 a.2.  Unexecuted  
 b.  Copy from a prior application *(37 CFR 1.63(d))*  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet *(see 37 CFR 1.76)*

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. 08/964,206

Prior Application Information: Examiner A. OLSEN

Group Art Unit 1746

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



**00513**  
PATENT TRADEMARK OFFICE

By:   
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August 30, 2001

THE COMMUNICATOR IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

JC930 U.S. PRO  
09/941692  
08/30/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yotaro HATAMURA et al.

Serial No. NEW

: Attn: Application Branch

Filed August 30, 2001

: Attorney Docket No. 2001\_1227

SUBSTRATE WITH MICRO-PROTRUSIONS  
AND METHOD OF MAKING THEREOF

(Rule 1.53(b) Continuation  
of Serial No. 08/964,206,  
Filed November 4, 1997)

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$988.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$988.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Yotaro HATAMURA et al.

By Thomas D. Robbins  
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TDR/jz

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August 30, 2001

[Check No. U6237  
2001\_1227]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : :

Yotaro HATAMURA et al. : :

Serial No. NEW : Attn: Application Branch

Filed August 30, 2001 : Attorney Docket No. 2001\_1227

SUBSTRATE WITH MICRO-PROTRUSIONS  
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CHANGE OF ADDRESS

Assistant Commissioner for Patents,  
Washington, D.C.

Sir:

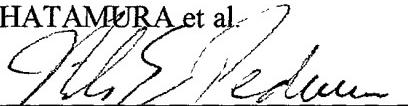
Effective **IMMEDIATELY**, please note the following change of address  
for the undersigned attorney of record:

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Respectfully submitted,

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